Chapter 6: Software Evolution and Reengineering

Harald Gall
Software Engineering Group
www.ifi.unizh.ch/swe/

Universität Zürich
Institut für Informatik
Objectives

- To explain why change is inevitable if software systems are to remain useful
- To discuss software maintenance and maintenance cost factors
- To describe the processes involved in software evolution
- To discuss an approach to assessing evolution strategies for legacy systems
Topics covered

- Program evolution dynamics
- Software maintenance
- Evolution processes
- Legacy system evolution
Software change

- Software change is inevitable
  - New requirements emerge when the software is used;
  - The business environment changes;
  - Errors must be repaired;
  - New computers and equipment is added to the system;
  - The performance or reliability of the system may have to be improved.

- A key problem for organisations is implementing and managing change to their existing software systems.
Importance of evolution

- Organisations have huge investments in their software systems - they are critical business assets.
- To maintain the value of these assets to the business, they must be changed and updated.
- The majority of the software budget in large companies is devoted to evolving existing software rather than developing new software.
Spiral model of evolution

- Specification
- Implementation
- Operation
- Validation

Start

Release 1

Release 2

Release 3
Program evolution dynamics is the study of the processes of system change.

After major empirical studies, Lehman and Belady proposed that there were a number of ‘laws’ which applied to all systems as they evolved.

There are sensible observations rather than laws. They are applicable to large systems developed by large organisations. Perhaps less applicable in other cases.
## Lehman’s laws

<table>
<thead>
<tr>
<th>Law</th>
<th>Description</th>
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<tbody>
<tr>
<td>Continuing change</td>
<td>A program that is used in a real-world environment necessarily must change or become progressively less useful in that environment.</td>
</tr>
<tr>
<td>Increasing complexity</td>
<td>As an evolving program changes, its structure tends to become more complex. Extra resources must be devoted to preserving and simplifying the structure.</td>
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<tr>
<td>Large program evolution</td>
<td>Program evolution is a self-regulating process. System attributes such as size, time between releases and the number of reported errors is approximately invariant for each system release.</td>
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<tr>
<td>Organisational stability</td>
<td>Over a program’s lifetime, its rate of development is approximately constant and independent of the resources devoted to system development.</td>
</tr>
<tr>
<td>Conservation of familiarity</td>
<td>Over the lifetime of a system, the incremental change in each release is approximately constant.</td>
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<tr>
<td>Continuing growth</td>
<td>The functionality offered by systems has to continually increase to maintain user satisfaction.</td>
</tr>
<tr>
<td>Declining quality</td>
<td>The quality of systems will appear to be declining unless they are adapted to changes in their operational environment.</td>
</tr>
<tr>
<td>Feedback system</td>
<td>Evolution processes incorporate multi-agent, multi-loop feedback systems and you have to treat them as feedback systems to achieve significant product improvement.</td>
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</table>
Applicability of Lehman’s laws

Lehman’s laws seem to be generally applicable to large, tailored systems developed by large organisations.

- Confirmed in more recent work by Lehman on the FEAST project (see further reading on book website).

It is not clear how they should be modified for

- Shrink-wrapped software products;
- Systems that incorporate a significant number of COTS components;
- Small organisations;
- Medium sized systems.
Software maintenance

- Modifying a program after it has been put into use.
- Maintenance does not normally involve major changes to the system’s architecture.
- Changes are implemented by modifying existing components and adding new components to the system.
Maintenance is inevitable

- The system requirements are likely to change while the system is being developed because the environment is changing. Therefore a delivered system won't meet its requirements!
- Systems are tightly coupled with their environment. When a system is installed in an environment it changes that environment and therefore changes the system requirements.
- Systems MUST be maintained therefore if they are to remain useful in an environment.
Types of maintenance

- Maintenance to repair software faults
  - Changing a system to correct deficiencies in the way it meets its requirements.

- Maintenance to adapt software to a different operating environment
  - Changing a system so that it operates in a different environment (computer, OS, etc.) from its initial implementation.

- Maintenance to add to or modify the system’s functionality
  - Modifying the system to satisfy new requirements.
Distribution of maintenance effort

- Fault repair (17%)
- Software adaptation (18%)
- Functionality addition or modification (65%)
Maintenance costs

- Usually greater than development costs (2* to 100* depending on the application).
- Affected by both technical and non-technical factors.
- Increases as software is maintained. Maintenance corrupts the software structure so makes further maintenance more difficult.
- Ageing software can have high support costs (e.g. old languages, compilers etc.).
Development/maintenance costs

System 1

System 2

Development costs

Maintenance costs

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Team stability
- Maintenance costs are reduced if the same staff are involved with them for some time.

Contractual responsibility
- The developers of a system may have no contractual responsibility for maintenance so there is no incentive to design for future change.

Staff skills
- Maintenance staff are often inexperienced and have limited domain knowledge.

Program age and structure
- As programs age, their structure is degraded and they become harder to understand and change.
Maintenance prediction

- Maintenance prediction is concerned with assessing which parts of the system may cause problems and have high maintenance costs
  - Change acceptance depends on the maintainability of the components affected by the change;
  - Implementing changes degrades the system and reduces its maintainability;
  - Maintenance costs depend on the number of changes and costs of change depend on maintainability.
Maintenance prediction

What parts of the system are most likely to be affected by change requests?

What parts of the system will be the most expensive to maintain?

Predicting system changes

Predicting maintainability

Predicting maintenance costs

What will be the lifetime maintenance costs of this system?

How many change requests can be expected?

What will be the costs of maintaining this system over the next year?
Change prediction

- Predicting the number of changes requires an understanding of the relationships between a system and its environment.
- Tightly coupled systems require changes whenever the environment is changed.
- Factors influencing this relationship are
  - Number and complexity of system interfaces;
  - Number of inherently volatile system requirements;
  - The business processes where the system is used.
Complexity metrics

- Predictions of maintainability can be made by assessing the complexity of system components.
- Studies have shown that most maintenance effort is spent on a relatively small number of system components.
- Complexity depends on
  - Complexity of control structures;
  - Complexity of data structures;
  - Object, method (procedure) and module size.
Process metrics

- Process measurements may be used to assess maintainability
  - Number of requests for corrective maintenance;
  - Average time required for impact analysis;
  - Average time taken to implement a change request;
  - Number of outstanding change requests.

- If any or all of these is increasing, this may indicate a decline in maintainability.
Evolution processes

- Evolution processes depend on
  - The type of software being maintained;
  - The development processes used;
  - The skills and experience of the people involved.

- Proposals for change are the driver for system evolution. Change identification and evolution continue throughout the system lifetime.
Change identification and evolution

- Change identification process
- New system
- Change proposals
- Software evolution process
The system evolution process

- Change requests
  - Impact analysis
    - Change implementation
      - System release
      - System enhancement
      - Platform adaptation
      - Fault repair
  - Release planning
    - System release
Change implementation

1. Proposed changes
2. Requirements analysis
3. Requirements updating
4. Software development
Urgent change requests

- Urgent changes may have to be implemented without going through all stages of the software engineering process
  - If a serious system fault has to be repaired;
  - If changes to the system’s environment (e.g. an OS upgrade) have unexpected effects;
  - If there are business changes that require a very rapid response (e.g. the release of a competing product).
Emergency repair

1. Change requests
2. Analyse source code
3. Modify source code
4. Deliver modified system
System re-engineering

- Re-structuring or re-writing part or all of a legacy system plus changing its functionality according to new requirements.
- Applicable where some but not all sub-systems of a larger system require frequent maintenance.
- Re-engineering involves adding effort to make them easier to maintain. The system may be re-structured and re dokumented.
Advantages of reengineering

- Reduced risk
  - There is a high risk in new software development. There may be development problems, staffing problems and specification problems.

- Reduced cost
  - The cost of re-engineering is often significantly less than the costs of developing new software.
Forward and re-engineering

Forward engineering:
- System specification → Design and implementation → New system

Software re-engineering:
- Existing software system → Understanding and transformation → Re-engineered system
The re-engineering process

Original program

Reverse engineering

Source code translation

Program documentation

Program modularisation

Structured program

Modularised program

Data re-engineering

Re-engineered data

Original data
Reengineering process activities

- **Source code translation**
  - Convert code to a new language.
- **Reverse engineering**
  - Analyse the program to understand it;
- **Program structure improvement**
  - Restructure automatically for understandability;
- **Program modularisation**
  - Reorganise the program structure;
- **Data reengineering**
  - Clean-up and restructure system data.
Re-engineering approaches

- Automated program restructuring
- Program and data restructuring
- Automated source code conversion
- Automated restructuring with manual changes
- Restructuring plus architectural changes
- Increased cost
Reengineering cost factors

- The quality of the software to be reengineered.
- The tool support available for reengineering.
- The extent of the data conversion which is required.
- The availability of expert staff for reengineering.
  - This can be a problem with old systems based on technology that is no longer widely used.
Legacy system evolution

- Organisations that rely on legacy systems must choose a strategy for evolving these systems
  - Scrap the system completely and modify business processes so that it is no longer required;
  - Continue maintaining the system;
  - Transform the system by re-engineering to improve its maintainability;
  - Replace the system with a new system.

- The strategy chosen should depend on the system quality and its business value.
System quality and business value
Legacy system categories

- Low quality, low business value
  - These systems should be scrapped.

- Low-quality, high-business value
  - These make an important business contribution but are expensive to maintain. Should be re-engineered or replaced if a suitable system is available.

- High-quality, low-business value
  - Replace with COTS, scrap completely or maintain.

- High-quality, high business value
  - Continue in operation using normal system maintenance.
Business value assessment

- Assessment should take different viewpoints into account
  - System end-users;
  - Business customers;
  - Line managers;
  - IT managers;
  - Senior managers.
- Interview different stakeholders and collate results.
System quality assessment

- Business process assessment
  - How well does the business process support the current goals of the business?
- Environment assessment
  - How effective is the system’s environment and how expensive is it to maintain?
- Application assessment
  - What is the quality of the application software system?
Business process assessment

- Use a viewpoint-oriented approach and seek answers from system stakeholders
  - Is there a defined process model and is it followed?
  - Do different parts of the organisation use different processes for the same function?
  - How has the process been adapted?
  - What are the relationships with other business processes and are these necessary?
  - Is the process effectively supported by the legacy application software?
- Example - a travel ordering system may have a low business value because of the widespread use of web-based ordering.
## Environment assessment 1

<table>
<thead>
<tr>
<th>Factor</th>
<th>Questions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Supplier stability</td>
<td>Is the supplier is still in existence? Is the supplier financially stable and likely to continue in existence? If the supplier is no longer in business, does someone else maintain the systems?</td>
</tr>
<tr>
<td>Failure rate</td>
<td>Does the hardware have a high rate of reported failures? Does the support software crash and force system restarts?</td>
</tr>
<tr>
<td>Age</td>
<td>How old is the hardware and software? The older the hardware and support software, the more obsolete it will be. It may still function correctly but there could be significant economic and business benefits to moving to more modern systems.</td>
</tr>
<tr>
<td>Performance</td>
<td>Is the performance of the system adequate? Do performance problems have a significant effect on system users?</td>
</tr>
</tbody>
</table>
Environment assessment 2

<table>
<thead>
<tr>
<th>Support requirements</th>
<th>What local support is required by the hardware and software? If there are high costs associated with this support, it may be worth considering system replacement.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Maintenance costs</td>
<td>What are the costs of hardware maintenance and support software licences? Older hardware may have higher maintenance costs than modern systems. Support software may have high annual licensing costs.</td>
</tr>
<tr>
<td>Interoperability</td>
<td>Are there problems interfacing the system to other systems? Can compilers etc. be used with current versions of the operating system? Is hardware emulation required?</td>
</tr>
</tbody>
</table>
# Application assessment 1

<table>
<thead>
<tr>
<th>Factor</th>
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</tr>
</thead>
<tbody>
<tr>
<td>Understandability</td>
<td>How difficult is it to understand the source code of the current system? How complex are the control structures that are used? Do variables have meaningful names that reflect their function?</td>
</tr>
<tr>
<td>Documentation</td>
<td>What system documentation is available? Is the documentation complete, consistent and up-to-date?</td>
</tr>
<tr>
<td>Data</td>
<td>Is there an explicit data model for the system? To what extent is data duplicated in different files? Is the data used by the system up-to-date and consistent?</td>
</tr>
<tr>
<td>Performance</td>
<td>Is the performance of the application adequate? Do performance problems have a significant effect on system users?</td>
</tr>
</tbody>
</table>
### Application assessment 2

<table>
<thead>
<tr>
<th>Programming language</th>
<th>Are modern compilers available for the programming language used to develop the system? Is the programming language still used for new system development?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Configuration management</td>
<td>Are all versions of all parts of the system managed by a configuration management system? Is there an explicit description of the versions of components that are used in the current system?</td>
</tr>
<tr>
<td>Test data</td>
<td>Does test data for the system exist? Is there a record of regression tests carried out when new features have been added to the system?</td>
</tr>
<tr>
<td>Personnel skills</td>
<td>Are there people available who have the skills to maintain the application? Are there only a limited number of people who understand the system?</td>
</tr>
</tbody>
</table>
System measurement

- You may collect quantitative data to make an assessment of the quality of the application system
  - The number of system change requests;
  - The number of different user interfaces used by the system;
  - The volume of data used by the system.
Key points

- Software development and evolution should be a single iterative process.
- Lehman’s Laws describe a number of insights into system evolution.
- Three types of maintenance are bug fixing, modifying software for a new environment and implementing new requirements.
- For custom systems, maintenance costs usually exceed development costs.
Key points

- The process of evolution is driven by requests for changes from system stakeholders.
- Software re-engineering is concerned with re-structuring and re-documenting software to make it easier to change.
- The business value of a legacy system and its quality should determine the evolution strategy that is used.